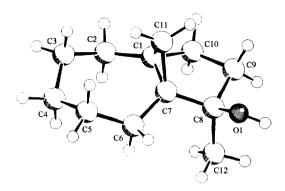
Figure 1: Crystal structure of 10



Compound 10 was rearranged in 0.05 M trifluoracetic acid in water / THF (3:2) at room temperature to 1 with high selectivity (Table).

The disappearance of 10 was followed by gc. It reacts to 1 and 11, the latter is also converted to 1 (Scheme 5, Table). The tricyclic carbocation is probably an intermediate which rearranges by an endocyclic opening of the cyclopropane ring to the bicyclic carbocation which then solvolyzes to 1. The diastereomer 12 could not be detected. The energy difference of at least 18 kcal/mole between 1 and the other compounds, which for the most part can be attributed to the energy gain resulting from opening of the cyclopropane ring, explains the unusually high product selectivity. A concerted reaction from 10 to 1 cannot be excluded, whilst this of 11 must lead to an exocyclic opening of the cyclopropane ring.

Scheme 5

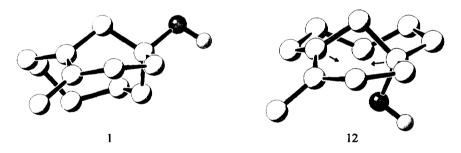
Compound	Conversion 20 min	of 10 and for 140 min	nation of 1 ^a 18 h	Calculated heat of formation ^b
10	12%	5 %	<1%	- 56 kcal/mol
11	58 %	22 %	<1%	- 55 kcal/mol
12	0 %	0 %	0%	- 35 kcal/mol
1	7 %	57 %	97 %	- 74 kcal/mol

Table: Time dependence of the rearrangement of 10 and calculated heats of formation of 1,10-12

- a: Conditions: 0.05 M trifluoracetic acid in water / THF (3:2), room temperature, 18 h; product analysis by gc: column: HP-1, 25m×0.32mm×0.52µ m.
- b: Semiempirical AM1 calculation 12.

The calculations reveal that the configuration of the hydroxyl group in the diastereomers 1 and 12 has a great influence on the heat of formation. Its exo-configuration in 1, which is also present in the AB ring of taxol (2), is strongly favoured by 39 kcal/mol compared with the endo-configuration. These results can be explained by the calculated molecular structure of 1 and 12 (Figure 2). The endo-configuration leads to a ring contraction (arrows) and so more than compensates the effect of cyclopropyl ring opening.

Figure 2: Calculated molecular structure of 1 and 12



The results demonstrate that the bicyclo[5.3.1]undecenol ring system, that constitutes the AB ring of taxane can be prepared in three steps and 50% overall yield starting from hydroazulenones, for which a great number of syntheses is known. The application of the cyclopropyl-carbinyl rearrangement to the synthesis of higher substituted taxane intermediates is currently investigated.

EXPERIMENTAL

General. All melting points were determined with a Kofler hot-stage apparatus and are uncorrected. Infrared (IR) spectra were recorded on the FT IR spectrometers Nicolet 5 DXC and Bruker IFS 28. NMR spectra were measured with a Bruker WM 300 (¹H: 300 MHz, ¹³C: 75.4 MHz). The chemical shifts are

expressed in parts per million (ppm) downfield from tetramethylsilane, using tetramethylsilane ($\delta = 0$) and/or residual chloroform ($\delta = 7.24$) as internal standard. Signal characteristics are described using standard abbreviations: s, singlet; d, doublet; t, triplet; q, quartet; m, multiplet; br., broad signal. Gas chromatography/mass spectrometry (GC/MS) were conducted with a MS Finnigan MAT 8230 (70 eV) coupled with a Varian GC 3400 and combined with the data system Finnigan SS 300, and a Varian GC 3400 coupled with a Varian Saturn II (ion trap). GC analyses were performed on a Hewlett Packard HP 5890 II gas chromatograph using a capillary column (HP-1, 0.2 mm × 25 m). Thin-layer chromatography (TLC) was conducted on Merck precoated TLC plates (silica gel 60 F₂₅₄ 0.25 mm, Art. 5715). HPLC was done with a Knauer HPLC system, using a preparative column (Nucleosil 100-7, 32 mm × 250 mm). The following abbreviations were used for solvents: THF (tetrahydrofuran), PE (petroleum ether).

Tricyclo[5.3.1.0^{1,7}]undecan-8-one (6)⁷. To a mixture of sodium hydride (612 mg, 25.5 mmol) and trimethyl sulfoxonium iodide (5.61 g, 25.5 mmol) was slowly added dimethyl sulfoxide (30 ml). A vigorous evolution of hydrogen ensued, which ceased after 15-20 min. The milky-white suspension was stirred and a solution of 5 (3.0 g, 20 mmol) in dimethyl sulfoxide (5 ml) was added with slight external cooling of the reaction flask. After stirring at room temperature for 2 h and at 50 °C for 1 h the mixture was cooled to room temperature, poured into 80 ml cold water and extracted with Et₂O (3 × 80 ml). The combined extracts were washed with water (3 × 50 ml), dried (MgSO₄) and evaporated. The resulting yellow oil was purified by chromatography (PE/Et₂O, 1:1) to yield 6 as a colourless oil (1.90 g, 11.6 mmol, 58%). IR (neat): 3059 (w, CH₂ of cyclopropane), 2921 (s, CH₂), 2849 (s, CH₂), 1719 (s, C=O), 1455 (s), 1372 (m), 1301 (m), 1078 (m), 1057 (m), 968 (m), 835 (w), 794 (m), 769 (w). H NMR (CDCl₃, 300 MHz) δ: 1.06 (s, 2H, CH₂ of cyclopropane), 1.10-1.25 (m, 3H, CH₂), 1.35-1.62 (m, 5H, CH₂), 1.78-1.97 (m, 2H, CH₂), 2.00-2.15 (m, 3H, 9-H, 2× 10-H), 2.38-2.50 (m, 1H, 9-H). H CNMR (CDCl₃, 75.4 MHz) δ: 26.05, 26.62, 27.13, 28.21, 32.28, 33.19 (7t, C-2, C-3, C-4, C-5, C-6, C-10, C-11), 35.05 (t, C-9), 37.91 (s, C-1), 42.43 (s, C-7), 215.57 (s, C=O). MS m/z (%): 164 (60) [M⁺], 136 (20) [M⁺ - CO], 122 (70) [M⁺ - CH₂CO], 107 (70), 93 (92), 79 (100), 67 (30), 53 (22), 39 (86).

Tricyclo[5.3.1.0^{1,7}]undecan-8-ol (7). To a stirred suspension of lithium aluminium hydride (231 mg, 6.09 mmol) in Et₂O (20 ml) was added a solution of 6 (1.00 g, 6.09 mmol) in Et₂O (10 ml) dropwise at 0 °C. The suspension was stirred for 60 min at 0 °C and then water (the first 10 ml dropwise, then 60 ml) and Et₂O (40 ml) was added. The mixture was separated and the aqueous layer was extracted with Et₂O (2 × 70 ml). The combined extracts were washed with sat. aq. NH₄Cl (30 ml, 15 ml) and water (15 ml), dried (MgSO₄) and concentrated to give 7 (985 mg, 5.92 mmol, 97 %) as a colourless oil. GC/MS analyses and the ¹H NMR spectrum show, that 7 consists of a pair of two diastereomers (1:20, the minor diastereomer has the lower retention time in gc). Minor diastereomer: MS m/z (%) : 166 (38) [M⁺], 148 (50) [M⁺ - H₂O], 137 (40), 123 (64), 109 (54), 105 (64), 91 (100), 79 (96), 67 (70), 55 (64), 41 (74). Major diastereomer: IR (neat): 3582 (br., OH), 3055 (w, CH₂ of cyclopropane), 2916 (s, CH₂), 2849 (s, CH₂), 1456 (s), 1328 (w), 1059 (s), 1023 (m), 995 (m), 927 (w), 878 (w) cm⁻¹. ¹H NMR (CDCl₃, 300 MHz) δ: 0.28 (d, ²J = 4.8 Hz, 1H, 11-H), 0.73 (d, ²J = 4.8 Hz, 1H, 11-H), 1.03 (m_c, 1H, CH₂), 1.20 - 1.72 (m, 12H, CH₂), 1.82-1.93 (m, 2H, CH₂), 2.10 (dt, J = 4.5 Hz, J = 14.0 Hz, 1H, 9-H), 4.26 (t, J = 8.1 Hz, 1H, CHOH). ¹³C NMR (CDCl₃, 75.4 MHz) δ: 16.71 (t, C-11), 26.72, 29.86, 30.13, 31.58 (5t, C-2, C-3, C-4, C-5, C-6), 32.25 (s, C-1), 32.72 (t, C-10), 34.81 (t, C-10), 37.07 (s, C-7), 77.20 (d, CHOH). MS

m/z (%) : 166 (40) [M⁺], 151 (22) [M⁺ - CH₃], 148 (44) [M⁺ - H₂O], 137 (46) [M⁺ - C₂H₅], 133 (40) [148 - CH₃], 123 (90) [M⁺ - C₃H₇], 119 (34) [137 - H₂O], 109 (54) [M⁺ - C₄H₉], 105 (64) [123 - H₂O], 91 (100), 79 (86), 67 (66), 55 (65), 41 (73). Anal. calc. for $C_{11}H_{18}O$: C, 79.46%; H, 10.91. Found: C, 79.42%; H, 10.99%.

Tricyclo[5.3.1.0^{1',7'}]undec-8'-yl-*p*-nitrobenzoate (8). Compound 7 (310 mg, 1.86 mmol) was added to a suspension of *p*-nitrobenzoyl chloride (349 mg, 1.88 mmol) in pyridine (5 ml) at room temperature. After 2 min the mixture was cooled to 0 °C, stirred for 3 h and then poured on an ice/water-mixture (30 ml). After filtration the insoluble material was washed with water (10 ml), sat. aq. NaHCO₃ (2 × 10 ml) and water again (3 × 10 ml). The residue was dried *in vacuo* over P₂O₅ for 72 h to yield 8 (556 mg, 1.76 mmol, 95 %) as a yellow solid: mp: 56-58 °C. IR (KBr): 2919 (s, CH₂), 2850 (m, CH₂), 1712 (s, C=O), 1608 (m, C=C), 1527 (s, NO₂), 1456 (m), 1349 (s, C-NO₂), 1296 (m), 1286 (m), 1124 (m), 1104 (m), 715 (s) cm⁻¹. H NMR (CDCl₃, 300 MHz) δ: 0.51 (d, 2J = 5.0 Hz, 1H, 11'-H), 0.97 (d, 2J = 5.0 Hz, 1H, 11'-H), 1.15 - 2.25 (m, 14H, 2× 2'- H, 3'-H, 4'-H, 5'-H, 6'-H, 9'-H, 10'-H), 5.54 (t, J = 8.1 Hz, 1H, COOCH), 8.17 (d, 3J = 8.6 Hz, 2H, 2-H, 6-H), 8.24 (d, 3J = 8.6 Hz, 2H, 3-H, 5-H). H2C NMR (CDCl₃, 75.4 MHz) δ: 18.53 (t, C-11'), 26.69, 26.96, 30.00, 31.81 (5t, C-2', C-3', C-4', C-5', C-6'), 32.11 (s, C-1'), 32.57 (t, C-10'), 34.50 (s, C-7'), 34.78 (s, C-9'), 81.82 (d, COOCH), MS m/z (%): 148 (90) [M⁺ - HOOCC₆H₄NO₂], 133 (65), 119 (68), 105 (90), 91 (100), 79 (55), 67 (30), 39 (47). Anal. calc. for C₁₈H₂₁NO₄: C, 68.55%; H, 6.71%; N, 4.44%. Found: C, 68.46%; H, 6.90%; N, 4.63%.

Bicyclo[5.3.1]undec-7-enol (9). To a suspension of CaCO₃ in acetone/water (70:30, v/v, 20 ml) was added the ester **8** (400 mg, 1.27 mmol). The mixture was stirred and heated at reflux for 40 h. After cooling the mixture to room temperature, water (60 ml) and Et₂O (80 ml) were added. The layers were separated and the water layer extracted with Et₂O (50 ml). The combined organic layers were washed with water (2 × 40 ml), dried (MgSO₄) and concentrated *in vacuo*. The resulting oil was purified by HPLC (Et₂O/PE, 2:1) to give 7 (6.3 mg, 38 mmol, 3 %), 9 (52.8 mg, 0.32 mmol, 25 %) as a colourless oil and recovered **8** (219 mg, 0.69 mmol, 55 %). **9**: IR (neat): 3349 (s, br., OH), 3039 (w, CH_{olefin}), 2922 (s, CH₂), 2840 (s, CH₂), 1670 (w, C=C), 1612 (w, C=C), 1441 (s), 1347 (m), 1332 (m), 1090 (s), 1014 (s), 921 (m), 857 (m), 776 (m), 752 (m) cm⁻¹. ¹H NMR (CDCl₃, 300 MHz) δ: 0.90-1.05 (m, 1H, CH₂), 1.20-1.98 (m, 13H, CH₂, OH), 2.08-2.38 (m, 5H, CH₂), 5.29-5.34 (m, 1H, C=CH). ¹³C NMR (CDCl₃, 75.4 MHz) δ: 24.50, 24.70, 28.81, 35.48, 36.19, 36.87 (7t, C-2, C-3, C-4, C-5, C-6, C-9, C-10), 41.38 (t, C-11), 74.17 (s, C-OH), 122.37 (d, C=CH), 137.96 (s, C=CH). MS m/z (%): 166 (26) [M⁺], 148 (36) [M⁺ - H₂O], 123 (38), 110 (75), 91 (63), 79 (60), 67 (70), 55 (40), 39 (100). Anal. calc. for C₁₁H₁₈O: C, 79.46%, H, 10.91%. Found: C, 79.27%; H, 10.98%.

exo- (10) and endo-8-Methyltricyclo[5.3.1.0^{1,7}]undecan-8-ol (11). To a solution of 6 (240 mg, 1.5 mmol) in dry Et_2O (100 ml) methylmagnesium bromide (4.0 ml, 3.0 M solution in Et_2O , 12 mmol) was added at 0 °C. After stirring for 3 h at 0 °C a sat. aq. NH₄Cl solution (100 ml) was added and the aqueous layer was extracted with Et_2O (2 × 100 ml). The organic phase was dried (MgSO₄) and evaporated to afford a mixture of 10 and 11 as a colourless, viscous oil (10:11 = 11:1, 260 mg, 97%).

The pair of diastereomers was separated by HPLC (PE/Et₂O, 1:2) to give **10** as colourless crystals and **11** as a colourless oil. **10**: mp: 68-70 °C. IR (KBr): 3300 (s, OH), 2917 (s, CH₂), 2853 (m), 1454 (s), 1400 (s), 1361 (m), 1198 (m), 1157 (m), 1123 (s) cm^{-1.1}H NMR (CDCl₃, 300 MHz) δ : 0.46 (d, 1H, $^2J = 5.3$ Hz, 11-H), 0.49 (d, 1H, $^2J = 5.3$ Hz, 11-H), 0.98-1.83 (m, 14H, CH₂), 1.24 (d, 3H, J = 1.0 Hz, CH₃). ¹³C NMR (CDCl₃, 75.4 MHz) δ : 11.09 (t), 25.52 (q, CH₃), 26.35 (t), 26.69 (t), 27.30 (t), 31.78 (t), 32.25 (t), 33.29 (s), 33.66 (t), 37.47 (t), 39.12 (s), 82.09 (s, COH). MS m/z (%): 180 (8) [M⁺], 165 (60) [M⁺-CH₃], 163 (100) [M+H⁺-H₂O], 147 (38), 137 (20), 119 (37), 105 (65), 91 (76), 79 (65), 67 (52), 55 (31). Anal. calc for C₁₂H₂₀O: C, 79.94%; H, 11.18%. Found: C, 79.97%; H, 11.45%. **11**: IR (neat): 3456 (s, OH), 2922 (s, CH₂), 1454 (s), 1373 (m), 1197 (m), 1160 (s), 1085 (s), 918 (s) cm⁻¹. ¹H NMR (CDCl₃, 300 MHz) δ : 0.08 (d, $^2J = 5.3$ Hz, 1H, 11-H_a), 0.52 (d, $^2J = 5.3$ Hz, 1H, 11-H_b), 1.10-1.97 (m, 14H, CH₂), 1.19 (s, 3H, CH₃). ¹³C NMR (CDCl₃, 75.4 MHz) δ : 14.29 (t), 23.22 (q, CH₃), 26.55 (t), 26.79 (t), 27.09 (t), 31.88 (t), 32.08 (t), 33.56 (t), 34.57 (s), 37.27 (t), 39.36(s), 83.81 (s, COH). MS m/z (%): 180 (10) [M⁺], 165 (36) [M⁺-CH₃], 163 (83) [M+H⁺-H₂O], 147 (49), 133 (23), 122 (53), 105 (82), 91 (90), 79 (84), 67 (61), 55 (33). Anal. calc for C₁₂H₂₀O: C, 79.94%; H, 11.18%. Found: C, 80.21%; H, 10.90%.

8-Methylbicyclo[5.3.1]undec-7-enol (1). To a solution of 11 (122 mg, 0.675 mmol) in THF (10 ml) a 0.05 M solution of trifluoracetic acid in water (15 ml, 0.75 mmol) was added. The resulting mixture was stirred at room temperature for 18 h and then sat. aq. Na₂CO₃ was added until the pH was weakly alkaline. The aqueous layer was extracted with Et₂O (3 × 20 ml). The combined extracts were dried (MgSO₄) and concentrated. The resulting product was purified by chromatography (PE/Et₂O, 1:4) to provide 1 as a colourless oil (107 mg, 0.594 mmol, 88%). IR (neat): 3335 (s, OH), 2920 (s, CH₂), 1439 (s), 1343 (m), 1123 (m), 1094 (m), 1012 (m), 934 (w). ¹H NMR (CDCl₃, 300 MHz) δ : 1.02-1.25 (m, 1H), 1.3-2.0 (m, 17H), 2.1-2.4 (m, 3H). ¹³C NMR (CDCl₃, 75.4 MHz) δ : 17.79 (q, CH₃), 24.63 (t), 28.88 (t), 29.05 (t), 30.97 (t), 36.73 (t), 39.39 (t), 42.97 (t), 74.64 (s, COH), 127.82 (s, \mathcal{L} =C), 129.92 (s, C= \mathcal{L}). MS: m/z (%) 180 (100) [M⁺], 162 (82) [M⁺-H₂O], 147 (80), 107 (98), 91 (99). HRMS calc. for C₁₂H₂₀O: 180.1514, found 180.1511.

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- X-ray diffraction analysis of 10: A colourless irregular crystal of C₁₂H₂₀O (size 0.4 x 0.3 x 0.1 mm) was measured at 223 K on an Enraf-Nonius CAD4-diffractometer using Cu Kα radiation $(\lambda = 1.54178 \text{ Å})$ and graphite monochromator. 2426 reflections were collected in the 2Θ -range $6.8 \le 2\Theta \le 100.0^{\circ}$. Crystal system: Monoclinic, space group C2/c (No. 15), Z = 16, a =31.212(10) Å, b = 14.278(3) Å, c = 9.962(3) Å, $\beta = 98.18(3)^{\circ}$, V = 4394(2) Å³, $D_{r} = 1.090$ Mg/m³, $\mu = 0.508$ mm⁻¹, empirical absorption correction using ψ -scan data (0.926 $\leq T \leq$ 0.999). Structure was solved with direct methods (SHELXS-86) and refined with riding hydrogen atoms in calculated position. Anisotropic refinement on F^2 for all non-hydrogen atoms using 2253 independent reflections led to R = 0.064 and $wR^2 = 0.145$ (SHELXL-93). The asymmetric unit contains two almost identical molecules (one shown in Figure 1). In crystal structure four molecules form a tetramer by hydrogen bonding. Further details of the crystal structure investigation are available on request from the Fachinformationszentrum Karlsruhe, für wissenschaftlich-technische Information mbH, D-76344 Eggenstein-Leopoldshafen, on quoting the deposition number CSD-404074, the names of the authors, and the journal citation.
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Samarium Diiodide Induced Ring Enlargement of Azidocyclododecanones to Various Macrocyclic Lactams

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Abstract: The synthesis of 2-cyanocyclododecanones 3 a-c α -substituted by an azidoalkyl chain is described. Compounds 3a (n=3) and 3b (n=4) were treated with SmI₂ to afford in one step, the macrocyclic lactams 4a and 4b via five- and six- membered rings, respectively. For comparison, these lactams were also synthesized from 3a-b by a standard procedure. The synthesis of the 23-membered ring lactam 4c from 3c (n=10) was then attempted by both methods.

INTRODUCTION

The development of new efficient routes to macrocyclic lactams represents a synthetic challenge because ring closure is sometimes difficult to achieve. The lactam ring formation can be done by several methods. Our group² reported the retro aldol type ring enlargement of 2-nitrocycloalkanones in basic medium (Scheme 1). The aldehyde side chain was incorporated by Michael addition to acrylaldehyde. Reductive amination of the aldehyde then allows the nitrogen atom to react with the carbonyl group to give a bicyclic intermediate. This method generally afforded macrocyclic lactams in moderate yield and required the presence of a nitro group to activate the ketone. The Bu₃SnH induced ring expansion reaction of α -(alkylazido)- β -ketoesters to lactams was recently reported under conditions known for radical reactions. The discovery that alkylazides could be reduced by SmI₂⁴ led us to investigate the ring enlargement of readily available azidocyclododecanones 3 to large-sized lactams 4 using this reagent.

$$\begin{array}{c|c}
O & NO_2 & O & CHO \\
\hline
NO_2 & a & NO_2 & b, c & NO_2
\end{array}$$

$$\begin{array}{c|c}
NO_2 & b, c & NO_2
\end{array}$$

$$\begin{array}{c|c}
NO_2 & NO_2
\end{array}$$

a) CH2=CHCHO; b) RNH2, NaBH3CN; c) NaHCO3, H2O, McOH

Scheme 1

RESULTS AND DISCUSSION

The alcohol 2a was obtained in two steps by Michael addition of cyclododecanone 1⁵ to acrylaldehyde followed by selective reduction of the aldehyde by NaBH₄ in methanol.^{6,7} Alcohol 2a was converted to the azide 3a via reaction of the corresponding mesylate with NaN₃ by phase-transfer catalysis (Scheme 2).⁸

- a) CH₂=CHC(O)H, Bu₄NF, THF, 2 h, 72%; b) NaBH₄, MeOH, 0°C, 40 min, 90%;
- c) MeSO₂Cl, Et₃N, toluene, 0°C, 40 min then NaN₃, Bu₄NBr, H₂O, 60°C, 89%.

Scheme 2

Treatment of the 12-membered azide 3a with SmI₂9 (3.3 equiv.) in THF at room temperature for 2 hours gave a smooth transformation to the 16-membered lactam 4a and the unexpected amidine 5 (Scheme 3).

Elucidation of the structure 5 was not obvious because some of the IR and 13 C NMR data (v 1660 cm⁻¹ δ 168 ppm;) did not correlate well with an amidine structure. 10 Spiro adduct 5 was acetylated in presence of a mixture (2:1) of acetic anhydride and pyridine at room temperature to give the crystalline product 7. Structure assignment of 7 was unambiguously supported by spectral data as well as by X-ray structure determination (Scheme 4). 11 The ORTEP representation, revealed that the 6-membered ring is chair shaped with the carbonyl (C-2 and O-2) pointed opposite to the imine of the amidine function (C-13 and N-13).

Attempts to decrease the formation of 5 by conducting the reaction with different amounts of SmI₂ (2-5 equiv.) or under high dilution conditions were unsuccessful. These modifications tend to decrease the yields